Surface Plastic Deformation and Nanocrystallization Mechanism of Welded Joint of 16MnR Steel Treated by Ultrasonic Impact

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The welded joint surfaces of 16MnR steel were treated using an ultrasonic impact machine. The effects of ultrasonic impact treating (UIT) on the plastic deformation and nanocrystallization mechanism of the welded joints of 16MnR steel were studied. The micro-structural features of the surface layer produced by UIT were observed by scanning electron microscopy (SEM) and high resolution transmission electron microscopy (HRTEM), and micro-hardness measurements were performed. Experimental results showed that the thickness of the plastic deformation layer was approximately 80 μm. It was found that grains in the surfaces of the welded joints of 16MnR were greatly refined by UIT. Obvious grain refinement was observed, with resultant gain sizes less than 100nm. The micro-hardness of the treated surface layer of the welded joint was enhanced significantly compared to that of the un-treated sample. The micro-hardness on the treated surface of the welded joint was 62.3% higher than that of the un-treated surface.

Keywords: nanocrystallization, mechanism, 16MnR steel, ultrasonic impact, welded joint.

1. INTRODUCTION

16MnR steel is one of the most widely used materials in mechanical manufacturing, especially in pressure vessel and train bogies, due to its high strength and weldability. As a primary part of a train, bogies carry static loads due to their weight, and bear dynamic loads due to rail surface roughness and imperfect wheels. Bogie frames are continuously subjected to various dynamic loads, and consequently, fatigue phenomena. With increasing train speed, the dynamic loads on welded bogie frames also increase. Welding is the basic connection process of train bogies; it would lead to residual stress in the weld area. The fatigue strength of a welded joint is lower due to the stress concentration in the weld toe, thus becoming the weak link in the carrying capacity of a bogie. Fatigue properties affect the life span of a bogie and the travelling crane safety of the vehicles [1, 2]. There is an increasing number of serious railway transportation accidents caused by structural fatigue of bogies [3, 4]. Surface nanocrystalline technology can refine the grains in metal surfaces on the nano-level without changing the chemical compositions. As a result, surface properties such as fatigue strength, corrosion resistance, and abrasion resistance are improved [5–9]. In recent years, attempts are made to refine the surface grains using surface nanocrystallization processes [10–13].

Ultrasonic impact treating (UIT) is a recently-developed technique that can refine surface layer grains of bulk materials. Surface nanocrystallization is expected to afford a new approach for the application of nano-structured materials, and has already been successfully applied in surface grain refinement of a variety of materials including pure metals, alloys and welded joints [14–18].

Although the method has been developed for actual application, there is little research about the surface grain refinement of welded joints of 16MnR steel using UIT. In this study, the effects of UIT on the plastic deformation and nanocrystallization mechanism of welded joints of 16MnR steel was discussed, and the micro-hardness was also studied.

2. EXPERIMENTAL

2.1. Materials

The test material was 16MnR steel, which is frequently used in bogie structures. The chemical composition and mechanical properties are shown in Table 1 and Table 2.

Table 1. Chemical composition of 16MnR steel (wt.%)  

<table>
<thead>
<tr>
<th>C</th>
<th>Mn</th>
<th>Si</th>
<th>S</th>
<th>P</th>
<th>Ni</th>
<th>Cr</th>
<th>Ti</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.14</td>
<td>1.32</td>
<td>0.27</td>
<td>0.004</td>
<td>0.012</td>
<td>0.07</td>
<td>0.06</td>
<td>0.018</td>
</tr>
</tbody>
</table>

Table 2. General mechanical properties of 16MnR steel  

<table>
<thead>
<tr>
<th>E, MPa</th>
<th>σ0.2, MPa</th>
<th>σb, MPa</th>
<th>δ, %</th>
<th>ψ, %</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.1×10⁵</td>
<td>366</td>
<td>580</td>
<td>27</td>
<td>0.28</td>
</tr>
</tbody>
</table>

2.2. Preparation of the specimen

The joints were welded after straightening and correcting of distortion. Further details regarding the preparation of the specimen can be found in reference [19]. The weld inspections found that except for one or two specimens with slight distortions, most of the specimens appeared well-aligned. The circular fillets of all the specimens were grinded smooth, in order to prevent fractures in the transitions. The UIT equipment (made by SUNBOW, China) consisted of an ultrasonic generator with a frequency of approximately 20 kHz and an output power of about 1.0 kW, a piezo-ceramic transducer, step-
like ultrasonic horn made from high strength material, and an impact head installed on the horn tip. The impact head contained cylindrical pins which were able to move freely between the horn tip and the treated surface. The single-pin diameter was 8 mm, and multiple pins arranged side by side had diameters of 3 mm. The UIT equipment is comprised of a handheld tool and an electronic control box containing an ultrasonic generator. The tool is easy to operate and provide a better comfortable work environment with negligible noise and vibration.

2.3. Microstructure observation and hardness test

The microstructure of the sample’s cross-section was examined after UIT using a SJM-6360LA scanning electron microscope (JEOL, Japan). The average grain size was measured in terms of the scale line. The microstructure of the treated surface layer was observed using a JEM-2010 high resolution transmission electron microscope (JEOL, Japan). The micro-hardness of the nanocrystalline surface layer was measured using a XHV-1000Z Vicker’s hardness tester with a load of 100 g and duration of 10 s.

3. RESULTS AND DISCUSSION

Fig. 1 shows a cross-sectional SEM image of the sample after UIT. Obviously, the microstructure morphology of the treated surface layer is quite different from that in the matrix. Evidences of severe plastic deformation are seen in the surface layer, in which grain boundaries cannot be clearly identified as can be seen in the matrix. The thickness of the plastic deformation layer was approximately 80 μm, and was not uniform, indicating the heterogeneity of plastic deformation induced by this treatment method.

In order to analyze the microstructure of the specimen after the UIT process, a JEM-2100 high resolution transmission electron microscope (HRTEM) was used. Fig. 2 a shows a bright-field image, Fig. 2 b shows a dark field image, and the corresponding selected area electron diffraction (SAED) pattern of the top surface layer in the surface of the welded joint of 16MnR steel after UIT is shown in the center. It was clear that the microstructure was characterized by ultrafine equiaxed grains with random crystallographic orientations, as indicated by the SAED pattern. The average grain size of the top layer was less than 100 nm and the grains at the top surface were uniform. The experimental results clearly demonstrated that nanostructures were developed in the surface layer of the welded joint of the 16MnR steel during UIT.

The Vickers hardness on the surface of the treated and un-treated welded joint was measured by a XHV-1000Z micro-hardness tester while applying a 100 g load for 10 s. The hardness results for the treated and un-treated surface of the welded joint was 297 and 183, respectively. The hardness on the treated surface was 62.3 % higher than that of the un-treated surface of the welded joint of 16MnR steel. The increase in the hardness after UIT can be attributed to both grain refinement and the work-hardening effect on the surface layer due to the Hall-Petch relationship [8], which provides an empirical description of grain boundary strengthening in many metals and alloys. It is expressed as [20]:

\[ H = H_0 + Kd^{-1/2}, \]  

(1)

where \( K \) is a constant for a given material, \( H_0 \) is an appropriate constant associated with the hardness measurements and \( d \) is the mean grain size. This relationship has been confirmed in both theory and practice in many metallic materials with grain size in the micrometer scale.
Generally speaking, the pattern of plastic deformation which includes dislocation and twinning is dependent on the stacking fault energy of the materials. For the materials with high stacking fault energy, the main pattern of plastic deformation is dislocation slip. Alternately, for materials with low stacking fault energy, the main pattern of plastic deformation is twinning. Because 16MnR is a material with a high stacking fault energy, its plastic deformation pattern is dislocation slip [21]. The grain refinement process on the treated surface of the 16MnR steel welded joint is shown in Fig. 3 [22].

![Fig. 3. Sketch map of the mechanism of refining grains by UIT [22]](image)

During the UIT process, dislocation are appeared and moved in the coarse grain matrix (see Fig. 3 (A1)). Because 16MnR has a high stacking fault energy, the dislocations did not distribute uniformly after plastic deformation, showing high dislocation density regions and low dislocation density regions. With an increase in the ultrasonic impact time, dislocation tangles were formed, as can be seen in Fig. 3 (A2). The dislocation density increased with increase in deformation. When dislocation slip continued to occur, intersections among the dislocations were commonplace and a different type of dislocation pattern emerged. The dislocation tangles transformed into cellular structures, as is shown in Fig. 4, in the surface of the welded joint of 16MnR steel. The cell structure consisted of boundaries in which dislocations were preferentially stored and the cell interiors were relatively dislocation free. With an increase of plastic deformation, dislocations in the cell boundaries interacted and dislocation walls with small angle misorientation were formed. Original coarse grains were divided into small cell structures. In order to decrease the high energy in the boundaries, high density dislocations in the cell boundaries became sub-boundaries with very small angles, and original coarse grains divided into many smaller grains of different sizes, which was carried out by means of annihilation and rearrangement.

![Fig. 4. Cellular structure in deformed joint of 16MnR steel](image)

With an increase in the UIT time and continued plastic deformation, dislocation tangles and dislocation wall were formed repeatedly in the refined sub-grains. This dislocation arrangement minimized the total strain energy. With continued plastic deformation, a concurrent increase in dislocation density occurred, leading to decreased cell sizes (see Fig. 3 (A4 – A6)). When the annihilating rate of the dislocations was equal to the increasing rate of dislocations, there was dynamic equilibrium. The grain size no longer decreased with an increase in plastic deformation, and was kept stable. The strain rate in the surface of the welded joint of 16MnR steel was very high during the UIT, and the strain rate decreased dramatically away from the surface. Because both the strain rate and strain in quantity were prodigious and the dislocation density was very high, nano-sized dislocations walls were formed. Compared to the coarse grains, the turning of nano-grains and the slipping of nano-grain boundaries are occurred easily. Equiaxed nano-grains (or nanostructures) with random distribution was found in the surface layer after the ultrasonic impact treating.

The size ($D$) of the dislocation cells that are formed in the coarse grains has a direct relationship with the applied shear stress ($\tau$). The empirical relation can be written as [11]:

$$D = K\tau b^4,$$

(2)

where $K$ is a constant with a value close to ten, $G$ is the shear modulus and $b$ is the slip distance (or Burgers vector). The shear stress $\tau$ decreases as it moves away from the impact surface. In Eq. 2 it can be seen that the closer to the impact surface, the bigger the shear stress $\tau$, and the smaller the size ($D$) of the dislocation cell is. Nano-sized dislocation cells were obtained in some surface regions. With continued ultrasonic impact treating, and a concurrent increase in dislocation density, new dislocation tangles and walls were formed, which led to many smaller dislocation cell and sub-grain boundaries. The size of the dislocation cells decreased continuously, so nano-grains eventually formed.

4. CONCLUSIONS

1. Grain sizes in the surfaces of welded 16MnR steel joint can be refined greatly by UIT, and a
nanostructured surface layer was successfully obtained on the surface of a welded 16MnR joint using the UIT method. The thickness of the plastic deformation layer was approximately 80 μm and the grain size was less than 100 nm in the top surface layer of the welded joint.

2. After UIT, the micro-hardness of the treated surface layer of the welded joint was enhanced significantly compared to that of the un-treated sample. The micro-hardness on the treated surface of the welded joint was 62.3 % higher than that of the un-treated surface.

3. The procedures of UIT plastic deformation include three steps. Firstly, dislocation entanglements and dislocation walls are formed in the coarse grains. Secondly, when dislocation slip occurs continuously, intersections among the dislocations are commonplace and a different type of dislocation pattern emerges. The dislocation tangents are transformed into cellular structures. And thirdly, with continued UIT process, a concurrent increase in dislocation density, new dislocation tangents and dislocation wall are formed, which lead to many smaller dislocation cells and sub-boundary grains. The size of the dislocation cells decrease continuously, so nano-grains are eventually formed.

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