Cathodic Cage Plasma Nitriding of Ti6Al4V Alloy

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Glow discharge nitriding is being used increasingly more often for modifying the properties of titanium and its alloys with the aim to increase their frictional wear resistance, fatigue strength, and, in the case of medical applications, to eliminate the metallosis effect. Unlike PVD methods, ion nitriding ensures the formation of diffusive layers with very good adhesion to the substrate, but which still have some disadvantages such as the “edge effect” or “hollow cathode effect” which hinders treatment of complex workpieces.

The paper compares nitried layers produced on Ti6Al4V alloy using two different types of nitriding processes. The first process is conventional dc plasma nitriding (DCPN) where the samples were placed at the cathode potential, while the second one is a new method of cathodic cage plasma nitriding (CCPN) process, where the substrate is insulated from the cathode and anode. The experiments have shown that the treatment conducted in a cathodic cage can be alternative for conventional ion nitriding, especially when used for small parts with complicated shapes used in the space or medical industry.

Keywords: titanium alloys, ion nitriding, surface engineering, wear resistance, space mechanisms, medical implant.

1. INTRODUCTION

On account of their favourable properties, such as low density, a high relative strength factor and great corrosion resistance, titanium and its alloys are widely used in different branches of industry as a construction material for various types of large industrial installations and complicated and sophisticated equipment components [1, 2]. However, because of their low wear resistance in mechanical applications and due to the metallosis effect in medical implants, a series of surface treatment processes are being elaborated, which are aimed at the elimination of these shortcomings [3–7]. One of the processes that increases surface hardness and wear resistance is the process of producing nitried layers on titanium and its alloys by glow discharge nitriding [7–9]. Unlike PVD methods, glow discharge treatment ensures the formation of diffusive layers with very good adhesion to the substrate [7, 10]. In the process, activation of the gas environment through the emission of electrons from the cathode as well as cathode sputtering at the potential drop in the space close to the cathode, allow for producing layers at considerably lower temperatures and also on materials that undergo auto-passivation i.e. titanium or stainless steels [7, 11].

The effect of cathode sputtering, that takes place during glow discharge assisted nitriding processes, accelerates formation of nitried layer but also hinders the treatment of workpieces with complicated shapes, e.g. fine threads or ones with sharp edges or straight-through and blind holes. In those areas, the effect of sputtering is more intense, which causes the layers to have different surface topography or even a different layer thickness, while for ready made parts, unfavorable visual characteristics become apparent, which can be seen in Fig. 1 [12–14]. These phenomena, which are referred to as the “edge effect” and “hollow cathode effect”, may be marginalised by a proper choice of technological parameters, however in the case of some workpieces this may prove insufficient [15, 16].

![Fig. 1. Elements of titanium implants after standard plasma nitriding and a dependence diagram between titanium nitride colour and nitride stoichiometry][17]

It is possible to eliminate the effect of cathode sputtering by using an active screen, which is also referred as plasma nitriding or floating potential nitriding. The cathode here is in the form of an active screen, which is also called the cathodic cage, and which enables the free flow of reactive gases around the processed workpieces. They are isolated from the voltage applied to the screen and are in contact with the generated low-temperature plasma. In this case, the heating of the processed components is ensured mainly as a result of convection. Currently, the research and the scope of application of this method focuses on materials that are more commonly processed by means of nitriding processes i.e. austenitic
steels or high-speed steels. The results obtained show that this method allows for the formation of diffusion layers of a uniform thickness, maintaining strict dimensional tolerances and of a pre-determined surface topography [18–23].

The paper presents results of examinations of surface layers produced in conventional dc plasma nitriding (DCPN) and cathodic cage plasma nitriding (CCPN) on polished Ti6Al4V titanium alloy with a high surface smoothness. A high smoothness of the surface is important for titanium parts used in e.g. medical equipment, implants or mechanical parts.

2. EXPERIMENTAL

The examination was made on Ti6Al4V (ASTM Grade 5) titanium alloy, which is one of the most common used titanium alloys. Cylindrical samples φ14.5mm h = 1.5 mm in size were used. They underwent grinding and mechanical polishing in a diamond suspension with silicon oxide of a grain size of 0.04 μm before process commencement.

Both direct current plasma nitriding (DCPN) and cathodic cage plasma nitriding (CCPN) were carried out in an atmosphere of 100 % N₂ at temperature 800 °C for 8 hours. All the other technological parameters were identical in both cases. Cathodic cage plasma nitriding was carried out with the use of an active screen made of titanium Grade 2. The test samples were placed inside the screen and they were isolated from the cathode potential by means of ceramic isolators (Fig. 2).

An X-ray diffraction analysis of the phase composition was performed using a Brucker D-8 Advance X-ray diffractometer equipped with a CuKα radiation tube.

Surface microhardness was measured at the centre of the samples by means of a Zwick ZHV 10 hardness tester under a load of 100 and 50 g.

Wear resistance tests were performed using the “three roller + taper” method, which is a modified “four ball” method. In this test, three rollers constitute the investigated samples, while the taper is the counterspecim made of AISI1045 steel (hardened to 30HRC). Synthetic LUX10 engine oil was used as a lubricant.

Corrosion resistance was investigated by means of the potentiodynamic method in a 0.5 M NaCl solution at a temperature of 22 °C with the use of the Autolab PGSTAT 100 device, while the polarisation speed was 0.2 – 1 mV/s.

3. EXAMINATION RESULTS

The surface layers produced on the Ti6Al4V titanium alloy in the CCPN process have a uniform glossy shine and a golden colour on the whole surface of the examined samples. After the conventional DCPN process, the same differences in appearance across the sample were observed. On the edge of the cylindrical sample, the bright yellow ring was noticeable, while the centre of the sample had a uniform dark gold colour (Fig. 3).

![Fig. 3. Mechanically polished Ti6Al4V samples after CCPN and DCPN processes](image)

The thickness of the titanium nitride layer formed in the DCPN process was about 2.0 μm, which was about 50 % higher than for layers produced in CCPN (about 1.3 μm). Measurements of layer thickness on the edge and in the centre of the samples didn’t show significant differences, but the most noticeable changes were observed for the dc plasma nitriding sample where a layer produced on the edge was about 0.2 μm thicker than that in centre. The microstructure of the layers produced both using CCPN and DCPN are shown in Fig. 4.

An analysis of the phase composition showed the presence of TiN and Ti₂N type titanium nitrides as well as αTi phase with changed lattice parameter in both the layers (Fig. 5), which is connected with the diffusive character of the produced layers and a decrease of nitrogen content from the surface of the titanium alloy towards the substrate. On the basis of the phase analysis and the comparison of diffraction patterns it may be stated that the higher thickness of the DCPN layer is connected mainly with a higher content of the Ti₂N phase. Significant differences resulting from the applied nitriding methods are observed in the values of the surface roughness parameters of the produced nitrided layers (Table 1).
Fig. 4. Microstructure of nitrided layers produced on Ti6Al4V titanium alloy in CCPN (a) and DCPN (b) processes

Fig. 5. XRD patterns of nitrided layers produced on Ti6Al4V titanium alloy in cathodic cage plasma nitriding (CCPN) and dc plasma nitriding (DCPN) processes

Roughness measurements showed a considerably smaller increase of $R_a$ and $R_q$ parameters for layers produced in the CCPN process than in conventional DCPN. When compared to the sample in the initial state (mechanically polished titanium alloy) the $R_a$ parameter value was only two times greater for samples made in the CCPN process and up to ten times greater for the sample after conventional DCPN.

Moreover, the increase in the surface roughness value after DCPN nitriding was different in the centre and edge of the sample, unlike in the CCPN process. A comparison of surface morphology after nitriding processes at the centre and the edge of nitrided samples confirmed no differences in the layer produced using a cathodic cage (Fig. 6 a), while such differences are observed in the case of layers produced in conventional DCPN (Fig. 6 b).

Table 1. Roughness parameters of mechanically polished titanium alloy and nitrided layers produced on Ti6Al4V titanium alloy in CCPN and DCPN processes

<table>
<thead>
<tr>
<th>Parameter, $\mu$m</th>
<th>Region</th>
<th>Ti6Al4V</th>
<th>CCPN</th>
<th>DCPN</th>
</tr>
</thead>
<tbody>
<tr>
<td>$R_s$</td>
<td>centre</td>
<td>0.031</td>
<td>0.103</td>
<td>0.369</td>
</tr>
<tr>
<td></td>
<td>edge</td>
<td>0.049</td>
<td>0.102</td>
<td>0.468</td>
</tr>
<tr>
<td>$R_q$</td>
<td>centre</td>
<td>0.040</td>
<td>0.135</td>
<td>0.479</td>
</tr>
<tr>
<td></td>
<td>edge</td>
<td>0.054</td>
<td>0.134</td>
<td>0.636</td>
</tr>
<tr>
<td>$R_z$</td>
<td>centre</td>
<td>0.434</td>
<td>2.24</td>
<td>4.94</td>
</tr>
<tr>
<td></td>
<td>edge</td>
<td>0.681</td>
<td>2.44</td>
<td>10.86</td>
</tr>
<tr>
<td>$R_t$</td>
<td>centre</td>
<td>0.493</td>
<td>1.70</td>
<td>6.38</td>
</tr>
<tr>
<td></td>
<td>edge</td>
<td>0.773</td>
<td>1.73</td>
<td>18.31</td>
</tr>
</tbody>
</table>

Both $R_s$ and $R_q$ parameter values for the DCPN process are more than two times higher on the edge than in the centre. It means that the growth mechanism of nitrides in these two areas is different. Because all the technological parameters were constant, this is the effect of cathodic sputtering which is more intensive on the corners.

A chemical composition analysis made on the surface by an EDS spectrometer shows significant homogeneity of the nitride layers produced in CCPN and heterogeneity of layers produced in DCPN.

Nitrogen content at the edge of the DCPN layer is more than 15% lower than in the centre of the tested sample (Table 2), which can suggest a different share in the volume of TiN and Ti$_2$N titanium nitrides or their different stoichiometry, which is connected with the different colours observed on the sample. Differences observed in the thickness, phase composition and surface parameters of the produced nitrided layers lead to differences in their properties.
Table 2. Chemical composition of nitrided layers produced on Ti6Al4V titanium alloy in CCPN and DCPN processes

<table>
<thead>
<tr>
<th>Elements</th>
<th>Region</th>
<th>CCPN, at.%</th>
<th>DCPN, at.%</th>
</tr>
</thead>
<tbody>
<tr>
<td>N</td>
<td>centre</td>
<td>30.3</td>
<td>33.8</td>
</tr>
<tr>
<td></td>
<td>edge</td>
<td>30.3</td>
<td>28.5</td>
</tr>
<tr>
<td>Ti</td>
<td>centre</td>
<td>67.1</td>
<td>64.5</td>
</tr>
<tr>
<td></td>
<td>edge</td>
<td>66.1</td>
<td>69.6</td>
</tr>
<tr>
<td>Al</td>
<td>centre</td>
<td>1.4</td>
<td>0.4</td>
</tr>
<tr>
<td></td>
<td>edge</td>
<td>2.3</td>
<td>0.5</td>
</tr>
<tr>
<td>V</td>
<td>centre</td>
<td>1.2</td>
<td>1.3</td>
</tr>
<tr>
<td></td>
<td>edge</td>
<td>1.3</td>
<td>1.4</td>
</tr>
</tbody>
</table>

The nitrided layers resulted in an increase of surface hardness of the Ti6Al4V titanium alloy. In the case of the CCPN process, the hardness grew nearly three times from 310HV0.1 to 880HV0.1. A much greater hardness of 1110HV0.1 was obtained in the DCPN process (Fig. 7) which is the effect of a greater thickness of the layer produced in this method. Measurements taken under a lower load show another difference between the two layers, more specifically in their near surface region. The hardness of the near-surface region for the DCPN layer is more then 30% higher then for the CCPN layer despite the fact that, as the previous investigation of phase composition and microstructure has shown, both layers are TiN+Ti2N+αTi(N) type diffusive layers and the volume of TiN nitrides is almost the same. A defected TiN nitride structure produced in DCPN process, where the volume of defects is related to cathodic sputtering intensity and ion energy, is responsible for this phenomenon of such big differences in hardness between these two layers.

Wear resistance tests confirmed a higher hardness and thickness of DCPN layers which had the lowest volume of wear, but CCPN layers still show great improvement over the untreated Ti6Al4V alloy and a higher wear volume then DCPN layers applied 50 minutes after the test.
Corrosion examinations carried out in synthetic seawater (0.5 M NaCl solution) showed good corrosion resistance of the nitrided layers in an environment with chloride ions. Both DCPN and CCPN layers show higher corrosion potential, a lower value of polarization resistance and lower corrosion currents than untreated Ti6Al4V alloy (Fig. 9).

<table>
<thead>
<tr>
<th>Sample</th>
<th>E_{corr} (mV)</th>
<th>I_{corr} (μA/cm²)</th>
<th>R_{polar} (kΩ*cm²)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ti6Al4V</td>
<td>-497</td>
<td>758</td>
<td>0.337</td>
</tr>
<tr>
<td>DCPN</td>
<td>-366</td>
<td>0.806</td>
<td>21.5</td>
</tr>
<tr>
<td>CCPN</td>
<td>45</td>
<td>0.024</td>
<td>397</td>
</tr>
</tbody>
</table>

Fig. 9. Potentiodynamic curves and corrosion parameters of Ti6Al4V titanium alloy in initial state and after CCPN and DCPN processes obtained in corrosion tests in 0.5M NaOH solution

When considering CCPN and DCPN nitrided layers the difference of one order of magnitude in the values obtained can be observed. Corrosion parameters obtained for the sample after CCPN are significantly better than those obtained for the DCPN layer. In the opinion of the authors this may be thanks to the formation of a homogeneous, uniform, less defective TiN layer with higher smoothness in the CCPN process.

4. CONCLUSIONS

Ti6Al4V titanium alloy underwent two different nitriding processes, cathodic cage plasma nitriding (CCPN) and direct current plasma nitriding (DCPN). Nitrided layers of the TiN+Ti₂N+αTi(N) type are diffusive in nature.

Basic differences were observed regarding thickness and homogeneity of the produced layers. The application of traditional dc glow discharge nitrided alloys makes it possible to produce layers of greater thickness but at the same time with a more developed surface. The lack of cathode sputtering during active screen plasma nitriding affects the homogeneity of the produced layers also on the edges of the samples.

Limitation of the influence exerted on the processed workpiece’s surface topography and the possibility of maintaining its high smoothness, are the main advantages of the cathodic cage plasma nitriding process. Thus, the elaborated method of nitriding may be successfully used for the treatment of components with complicated shapes, making it possible to maintain achieve a homogenous thickness and surface topography. It can be useful especially for small parts due to the size of the active screens and area inside the screen where stable process conditions prevail.

Examples of CCPN process application can be already found in medicine where titanium elements of the ventricular heart developed by Foundation for Cardiac Surgery Development in Zabrze (Fig. 10) were nitrided for better wear resistance and antithrombogenic properties [24] or in space instruments [25] such as those designed and produced by the Polish Space Research Centre Hammering Sampling Device “CHOMIK” used to decrease the friction coefficient of titanium parts in vacuum conditions (Fig. 11) which was a part of the Russian Phobos Sample Return mission.

Fig. 10. Examples of Ti6Al4V parts developed in ventricular heart assist subjected to nitriding in elaborated CCPN process

These small parts with a maximum dimension of 6 centimetres was thin-walled (even below 1mm thickness) and had complicated shapes with many holes which could be impossible or very laborious to nitride in the conventional DCPN process.

Fig. 11. Examples Ti6Al4V parts developed in Hammering Sampling Device “CHOMIK” subjected to nitriding in CCPN process

On the other hand, conventional direct current plasma nitriding (DCPN) provides more possibilities to influence surface topography and morphology of the nitried layers, has greater energy efficiency and allows for layers of greater thickness to be obtained in a shorter time on even very large parts.

As the results show, both the presented nitriding processes have their advantages and disadvantages must
thus be described as complementary processes. Both nitriding methods of titanium and its alloys can be used depending on the application of the processed workpieces.

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